

Assignment 1 is on website.

Due Mon Sept 22, 11:30am

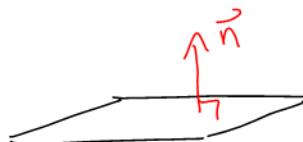
Submit on D2L

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12.4 Cont'd

Recall  $\vec{n} = [-z_x, -z_y, 1]$

Question 1: Where is a tangent plane horizontal?

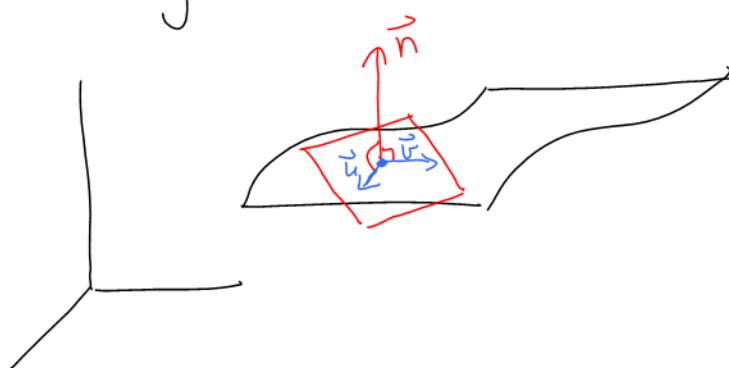


Horizontal tangent plane

$$\Leftrightarrow \vec{n} = [0, 0, 1]$$

$$\Leftrightarrow z_x = 0 \text{ and } z_y = 0$$

Question 2: Why does  $\vec{n} = [-z_x, -z_y, 1]$ ?

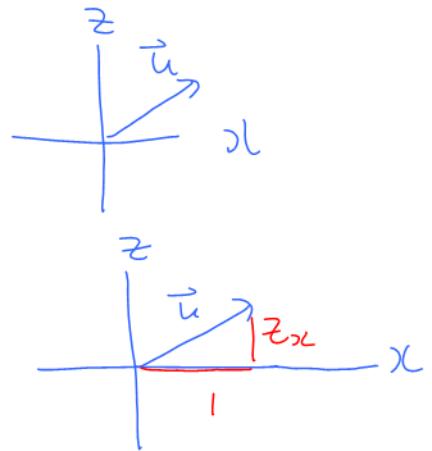


Let  $\vec{u}$  and  $\vec{v}$  be direction vectors for the tangent plane parallel to the x-axis and the y-axis respectively.

Slope of  $\vec{u}$  = rate of change  
of  $z$  w.r.t.  $x$

$$= z_x$$

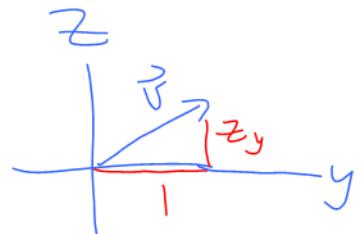
$$\vec{u} = [1, 0, z_x]$$



Slope of  $\vec{v}$  = rate of change  
of  $z$  w.r.t.  $y$

$$= z_y$$

$$\vec{v} = [0, 1, z_y]$$



$$\vec{n} = \vec{u} \times \vec{v}$$

$$= [-z_x, -z_y, 1]$$

$$\begin{array}{cccccc} 1 & 0 & z_x & 1 & 0 \\ 0 & 1 & z_y & 0 & 1 \end{array}$$

Ex: Find all points  $(x, y, z)$  where the tangent plane is horizontal:

$$z = x^3 - 12x + y^2 + 8y + 10.$$

$$z_x = 3x^2 - 12$$

$$z_y = 2y + 8$$

$$z_x = 0 : \\ 3x^2 - 12 = 0 \\ 3x^2 = 12 \\ x^2 = 4 \\ x = \pm 2$$

AND

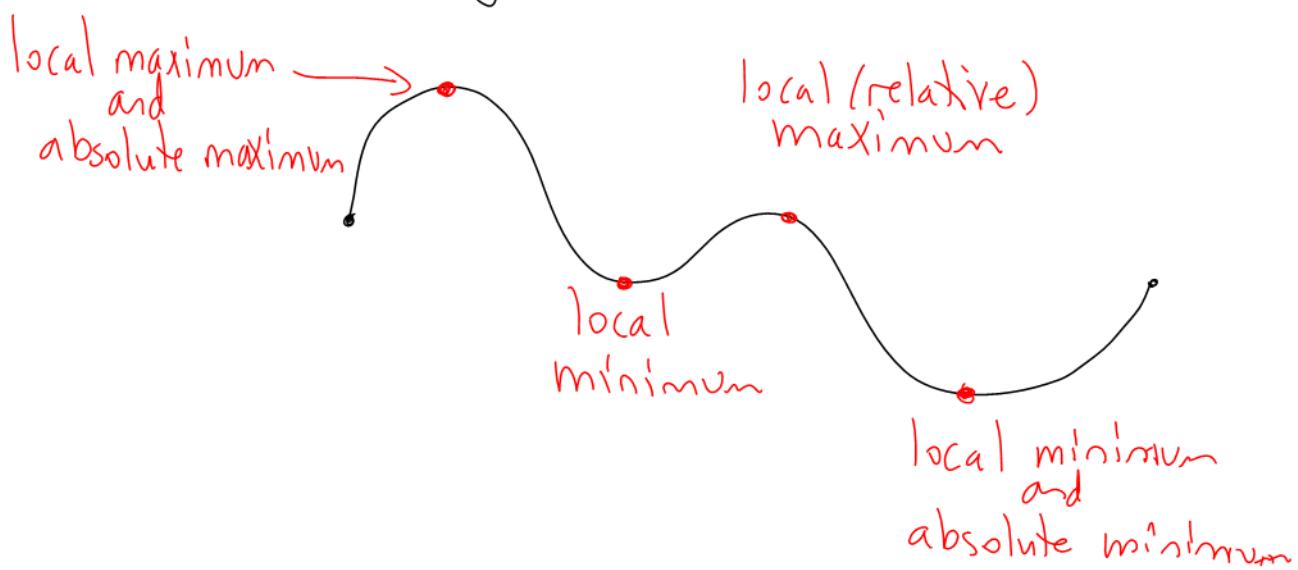
$$z_y = 0 : \\ 2y + 8 = 0 \\ y = -4$$

$$(x, y, z) = (2, -4, -22), (-2, -4, 10)$$

↑  
given  $z$

## 12.5 Multivariable Optimization

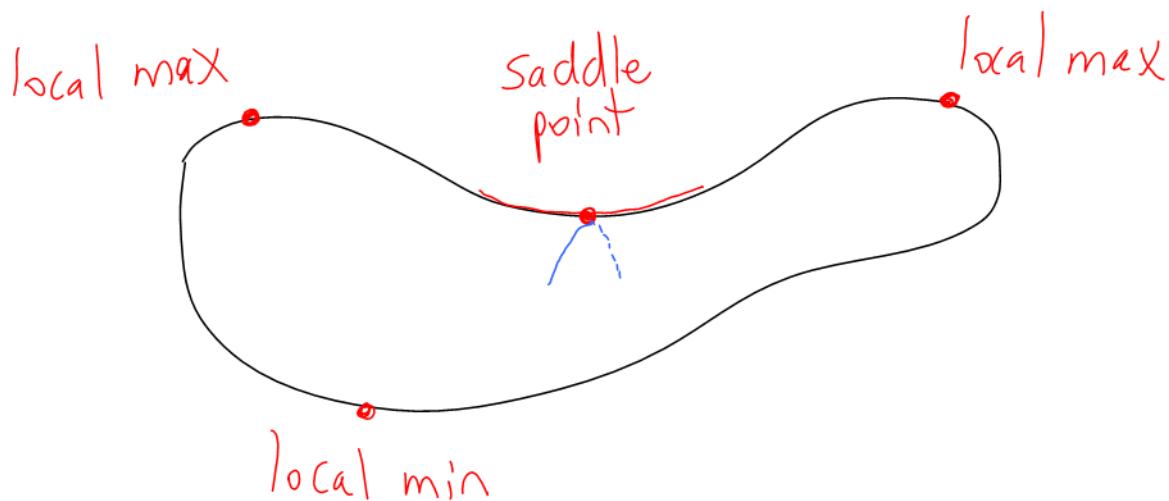
From single-variable calculus:



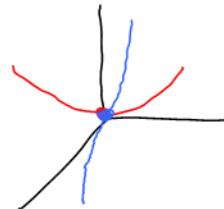
Def

Points where  $z_x$  and  $z_y$  are both 0 or undefined are called critical points.

- Could have  $z_x=0$  and  $z_y$  undefined, or vice versa.
- Critical points can be a local maximum, local minimum, saddle point, or none of the above.



Weird critical point:



Ex: Find all critical points of

$$f = x^3 + xy^2 + 3x^2 - 3y^2$$

$$\begin{aligned} f_x &= 3x^2 + y^2 + 6x \\ f_y &= 2xy - 6y \end{aligned} \quad \left. \begin{array}{l} \text{both } 0 \\ \text{or undefined} \end{array} \right\}$$

$$f_{xx}=0: \quad 3x^2 + y^2 + 6x = 0 \quad (1)$$

$$f_{yy}=0: \quad 2xy - 6y = 0 \quad (2)$$

$$\textcircled{2}: \quad 2xy - 6y = 0$$

$$2y(x - 3) = 0$$

$$y=0 \quad \text{or} \quad x=3 \quad (2 \text{ cases})$$

Case 1:  $y=0$

$$y=0 \rightarrow \textcircled{1}: \quad 3x^2 + y^2 + 6x = 0$$

$$3x^2 + 6x = 0$$

$$3x(x+2) = 0$$

$$x=0, -2 \Rightarrow (0,0), (-2,0)$$

Case 2:  $x=3$

$$x=3 \rightarrow \textcircled{1}: \quad 3x^2 + y^2 + 6x = 0$$

$$27 + y^2 + 18 = 0$$

$$y^2 = -45$$

no real solutions

$(0,0), (-2,0)$

✓

or  $(0,0,0), (-2,0,4)$

$\uparrow$        $\uparrow$

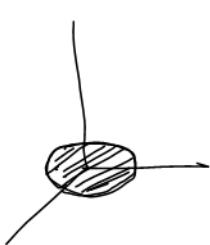
$f$        $f$

✓

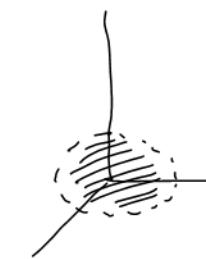
## FACT

A continuous function defined over a closed domain attains an absolute maximum and an absolute minimum.

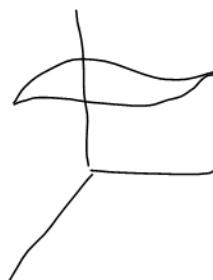
region of the  $xy$ -plane  
that includes its boundary



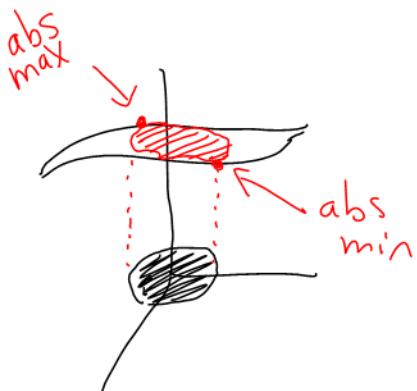
closed domain



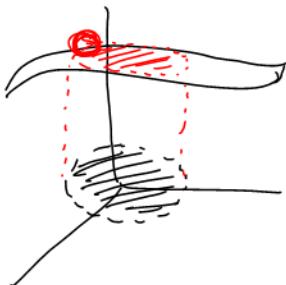
non-closed domain



surface



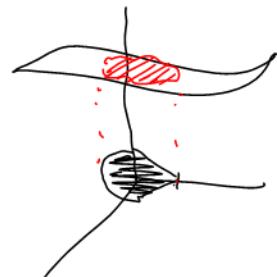
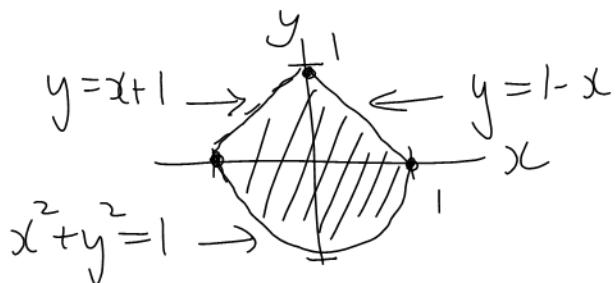
Can fail if the domain is not closed:



## FACT

The absolute maximum and absolute minimum of  $f$  may occur in the interior of the domain, at a corner of the domain, or on a side of the domain.

Ex: Find the absolute maximum and absolute minimum of  $f = x + x^2 + y^2$  over the region below:



### 1) Interior Critical Points

$$\begin{aligned} f_x &= 1 + 2x && \left. \begin{array}{l} \text{both } 0 \\ \text{or undefined} \end{array} \right\} \\ f_y &= 2y \end{aligned}$$

$$1 + 2x = 0 \Rightarrow x = -\frac{1}{2}$$

$$2y = 0 \Rightarrow y = 0$$

$$\left(-\frac{1}{2}, 0\right) \quad \text{This is in the domain. ✓}$$

### 2) Corners

$$(-1, 0), (1, 0), (0, 1)$$

### 3) Critical Points on Side 1: $y = x + 1$

$$y = x + 1 \rightarrow f = x + x^2 + y^2$$

$$f = x + x^2 + (x+1)^2 \quad \text{single-variable function}$$

$$f' = 1 + 2x + 2(x+1) \\ = 4x + 3$$

Set  $f' = 0$ :  $4x + 3 = 0$

$$x = -\frac{3}{4}$$

$$y = x + 1 = \frac{1}{4}$$

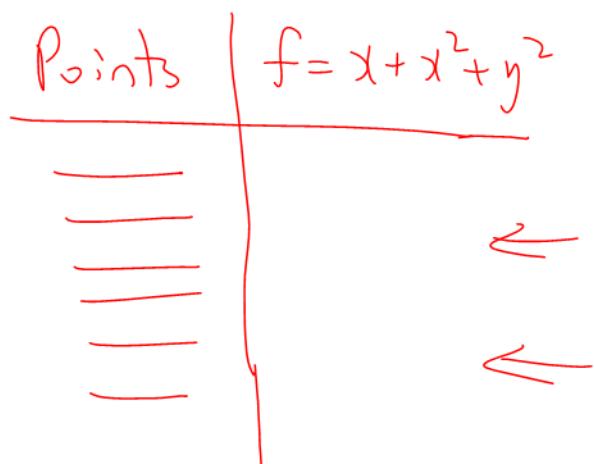
$$\left(-\frac{3}{4}, \frac{1}{4}\right)$$

4) Critical Points on Side 2:  $y = 1-x$

$\vdots$

5) Critical Points on Side 3:  $x^2 + y^2 = 1$

$\vdots$



TO BE CONTINUED